Anatomic alterations across amygdala subnuclei in medication-free patients with obsessive–compulsive disorder

Lianqing Zhang, PhD*; Xinyu Hu, PhD*; Lu Lu, MM; Bin Li, MD; Xiaoxiao Hu, MM; Xuan Bu, PhD; Hailong Li, PhD; Shi Tang, MM; Yingxue Gao, MM; Yanchun Yang, PhD; John A. Sweeney, PhD; Qiyong Gong, MD, PhD; Xiaoqi Huang, MD, PhD

Introduction

Obsessive–compulsive disorder (OCD) has a lifetime prevalence of 1% to 3% and causes significant distress and functional impairment. Dysregulation in the cortico–striato–thalamo–cortical (CSTC) circuitry has been proposed as the primary neuroanatomic alteration in OCD, with several studies finding alterations in orbitofrontal and striatal regions. Recent research suggests that abnormalities in the amygdala may also be relevant for OCD, because of its strong integration with CSTC systems and its well-established role in behavioural processes that are disrupted in OCD (anxiety regulation, fear memory and behavioural flexibility). The amygdala is a complex structure that consists of functionally distinct nuclei with different patterns of connectivity and potential relevance for particular aspects of the clinical presentation of OCD. The functional roles of the basolateral complex of the amygdala (BLA; consists of the lateral, basal and accessory basal nuclei) and the central nucleus of the amygdala (CeA) may be particularly relevant in this regard. The CeA is believed to play a role in modifying learned behaviour by representing outcomes that are different from expectation (prediction error). This process is important for flexible contextually appropriate behaviour, so dysfunction in the CeA could contribute to inflexible behaviour, and thereby to persistent and repetitive obsessions and compulsions. The
BLA, particularly the lateral nucleus, is important for learning and extinguishing fear responses, with modulation by the CeA.\textsuperscript{11,12} A recent study observed a relationship between morphometric alteration and overactivity in lateral nucleus neurons with repetitive self-grooming in SPRED2 knockout mice, consistent with a potential role for lateral nucleus alterations in compulsive behaviour.\textsuperscript{13} Hence, alterations in BLA may lead to overactive fear conditioning and increased affective responsivity, both of which might contribute to the affective features of OCD.\textsuperscript{4,5}

Previous structural and functional imaging studies support a role for abnormalities of the amygdala in OCD.\textsuperscript{7,14–17} However, neuroimaging studies in OCD measured the whole amygdala rather than considering its discrete subnuclei. Further, the available literature is not consistent, with reports of both smaller\textsuperscript{7,15} and larger\textsuperscript{18} total amygdala volume reported in patients with OCD compared to healthy controls. Recent published meta-/mega-analyses\textsuperscript{19,20} reported nominally larger \textit{t} values in subnuclei measurements based on visual inspection. Based on their known functional properties, we hypothesized that alterations in the CeA and BLA subregions would be associated with OCD.

**Methods**

**Participants**

We enrolled 81 medication-free patients with OCD but without comorbid depression and 95 age- and sex-matched healthy controls in the study. All participants were right-handed and native Han Chinese. Patients were recruited at West China Hospital, Sichuan University. We established clinical diagnoses using the Structured Clinical Interview for DSM-IV Axis I disorders (SCID).\textsuperscript{22} We considered age of illness onset to be the age patients first met the diagnostic criteria for OCD using the SCID interview. We assessed the severity of OCD symptoms using the Yale–Brown Obsessive Compulsive Scale,\textsuperscript{23} the 14-item Hamilton Anxiety Rating Scale\textsuperscript{24} and the 17-item Hamilton Depression Rating Scale.\textsuperscript{25} Exclusion criteria were as follows: age younger than 18 years or older than 60 years; lifetime history of a psychotic, affective or anxiety disorder other than OCD using the SCID; history of significant systemic illness, cardiovascular disease or neurologic disorder; substance abuse or dependence; and pregnancy. Of the patients with OCD, 67 were medication naïve, and 14 had received medication for OCD (clomipramine \([n = 4]\), paroxetine \([n = 3]\), fluoxetine \([n = 3]\), sertraline \([n = 3]\) and multiple drugs \([n = 1]\); clomipramine, paroxetine and quetiapine). Previously treated patients had been medication free for more than 4 weeks before the MRI scan.

Healthy controls were recruited from the local area using poster advertisements and screened using the SCID (non-patient version)\textsuperscript{22} to confirm the absence of Axis I psychiatric illness. Clinical interviews with healthy controls revealed no known history of psychiatric illness among their first-degree relatives. The study was approved by the research ethics committee of Sichuan University, and we obtained informed written consent from participants.

**Structural MRI data acquisition**

We acquired MRI data using a 3.0 T MRI system and an 8-channel phase array head coil (EXCITE, General Electric). We used a high-resolution \(T_1\)-weighted spoiled gradient recall sequence (repetition time 8.5 ms, echo time 3.4 ms, flip angle 12\(^{\circ}\), slice thickness 1.0 mm). The field of view was 240 \(\times\) 240 mm\(^2\) with an acquisition matrix of 256 \(\times\) 256, which yielded an actual voxel size of 0.93 \(\times\) 0.93 \(\times\) 1 mm\(^3\). We used foam padding and earplugs to reduce head motion and scanner noise.

**Image analysis**

The 3D \(T_1\)-weighted images were automatically segmented using FreeSurfer (version 6.0; http://surfer.nmr.mgh.harvard.edu/). We applied the standard recon-all FreeSurfer data analysis pipeline.\textsuperscript{26–30} Briefly, \(T_1\)-weighted images were corrected for head motion,\textsuperscript{26} transformed into Talairach space,\textsuperscript{27,28} and normalized for image intensity,\textsuperscript{29,30} and then skull-strip\textsuperscript{26} procedures were performed.

We performed amygdala subfield segmentation using a special purpose module in FreeSurfer software that employs a tetrahedral mesh-based probabilistic atlas built from manually delineated amygdala using in vivo and ex vivo data.\textsuperscript{21} We obtained the volumes of the whole left and right amygdala and 9 bilateral subfields, including 7 nuclei (lateral nucleus, basal nucleus, accessory basal nucleus, CeA, medial nucleus, cortical nucleus and paralaminal nucleus) and 2 transition areas (anterior amygdaloid area and corticoamygdaloid transition). An example of segmentation of a healthy person is shown in Figure 1. These processing procedures were fully automatic, but we visually confirmed all segmentations according to the ENIGMA quality control protocol (http://enigma.ini.usc.edu/). No MRI measurements for study participants as described above showed signs of software failure in subnuclei measurements based on visual inspection.

**Statistical analysis**

We compared intracranial volume between patients with OCD and healthy controls using the Student \(t\) test. We used an analysis of covariance with age, sex and intracranial volume as covariates to test for overall amygdala volume differences between groups. We also tested group \(\times\) hemisphere, group \(\times\) age and group \(\times\) sex interactions with this model.
We tested for volume differences between groups in the subnuclei of interest (CeA and BLA), also testing for hemisphere effects using age, sex and intracranial volume as covariates. We considered the CeA separately in an analysis of variance. For the BLA (which consists of the lateral, basal and accessory basal nuclei), we conducted a multivariate analysis of variance with step-down univariate analyses controlling for the false discovery rate associated with multiple hypothesis testing. We calculated \( \eta^2 \) to evaluate effect sizes (0.01 indicates a small effect size, 0.06 indicates a medium effect size and 0.14 indicates a large effect size). To describe the magnitude of abnormalities in patients with OCD, we calculated percent volume reduction relative to healthy controls after correction for age, sex and intracranial volume in subnuclei that showed significant volume loss in patients with OCD. We made this calculation using the following formula: (volume of patients with OCD – adjusted mean volume of healthy controls) / adjusted mean volume of healthy controls × 100%. Because variances of percent volume reduction were inhomogeneous, we conducted Kruskal–Wallis tests to compare reductions across subnuclei. We performed exploratory partial correlation analyses with age, sex and intracranial volume as covariates to identify associations between illness duration and scores on the Yale–Brown Obsessive Compulsive Scale, Hamilton Anxiety Rating Scale and Hamilton Depression Rating Scale with volumes of subnuclei that showed significant group differences. We used nominal significance thresholds for these heuristic/exploratory analyses. Finally, we conducted an exploratory multivariate analysis of covariance (MANCOVA) with the remaining subnuclei (medial nucleus, cortical nucleus and paralaminar nuclei, as well as the anterior amygdaloid area and the corticoamygdaloid transition).

Results

The demographic and clinical characteristics of the participants can be found in Table 1.

We found no significant difference in intracranial volume between patients with OCD and healthy controls \((t = 0.597, p = 0.44)\).

Fig. 1: An example of amygdala subnuclei segmentation in a healthy control participant. The lateral, basal and accessory basal nuclei together constitute the basolateral complex of the amygdala.
The volume of whole amygdala was significantly reduced bilaterally in patients with OCD relative to healthy controls (left: $p = 0.034$, $\eta^2 = 0.026$; right: $p = 0.002$, $\eta^2 = 0.054$). Group interactions with hemisphere, sex and age were not significant.

We observed volume reductions in both the BLA and the CeA in patients with OCD relative to healthy controls (Table 2, Fig. 2). Volumes of the CeA were significantly reduced bilaterally in patients with OCD relative to healthy controls (left: $p = 0.002$, $\eta^2 = 0.054$). Group interactions with hemisphere, sex and age were not significant.

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Discussion

To the best of our knowledge, this was the first study to investigate morphometric alterations in amygdala subnuclei in patients with OCD. Our findings provide a comprehensive profile of morphometric abnormalities of the amygdala in OCD and novel insights into how these abnormalities may contribute to OCD symptoms that are not confounded by current medication treatments or major psychiatric comorbidities.

We observed a significant bilateral volume decrease in the amygdala in patients with OCD relative to healthy controls, which was in line with many previous reports but not all. This discrepancy could be accounted for by medication treatment status/history, illness severity and illness duration, as well as by study-specific issues such as manual segmentation and sample size. Subnuclei analysis revealed volume decreases in both the CeA and the BLA, consistent with our hypothesis. Exploratory analysis revealed volume decreases in medial and cortical nuclei. Volume reductions in the CeA were not associated with severity of obsessions and compulsions, but they were associated with longer illness duration. The identified volume reductions in the amygdala could have had multiple potential causes (e.g., neuron losses, neuropil reductions, tissue changes in iron or water content) that need to be explored in future research.

Our findings, together with recent clinical and animal-model evidence, argue for including the amygdala as a component of the CSTC model of OCD. Abnormalities of the amygdala may contribute to OCD symptoms in the following ways: (1) CeA impairments may contribute to OCD by reducing the ability to accurately evaluate or use responses to behaviour choices when outcomes violate expectations and should lead prediction error signals and a change in behavioural preferences; (2) BLA disruption may be responsible for altered fear conditioning and affective components in OCD; (3) the medial and cortical nuclei may contribute to certain dimensions of OCD symptoms, such as contamination fears and...
Fig. 3: Volumes of the bilateral central nucleus, basolateral complex of the amygdala and cortical nucleus of the amygdala, as well as the right medial nucleus, were significantly reduced in patients with obsessive–compulsive disorder (OCD) compared to healthy controls; the left basal nucleus was not. Volume reduction in the right central nucleus was associated with longer illness duration, and volume reduction in the left medial nucleus was related to higher obsession ratings but also to lower compulsion ratings (when using age, sex and intracranial volume as covariates).
sexual or aggressive obsessions, but this speculation needs to be supported by a better understanding of the functional properties of these nuclei based on preclinical investigations.

**CeA impairment may be associated with behavioural inflexibility in OCD**

The CeA plays a fundamental role in learning and selecting both defensive and appetitive responses to facilitate adaptive behaviour.\(^{34}\) To enable this response-selection function, the CeA receives sensory and higher-order information from multiple cortical and subcortical regions.\(^{35}\) With input from the cortex and basal ganglia, a unique recurrent inhibitory circuit in the CeA gates action preferences to guide behaviour away from aversive and toward appetitive stimuli.\(^{36-38}\)

As revealed by optogenetic approaches, 2 populations of neurons in the lateral subdivision of the CeA (CeL) constitute this circuit. When certain contexts (e.g., a conditioned auditory stimulus) activate one population (CeLon cells), the other (CeLoff cells) is inhibited simultaneously.\(^{39}\) The balance of activity in these cells determines response choices, and dynamic changes in their relative strength enables flexible change in response preferences. Interaction in this circuit then essentially leads to a “winner-take-all” situation that ideally enables rapid behavioural switching to adapt to changing environmental circumstances.\(^{34}\) Disturbances in CeA circuitry could disrupt signalling to indicate that prior response preferences or emotional responses are no longer adaptive or appropriate, and thus contribute to the persistent behavioural inflexibility that characterizes OCD.

Although associative learning happens primarily in the BLA (particularly the lateral nucleus),\(^{40}\) the CeA is more involved in the generation and processing of prediction error signals.\(^{41,42}\) Impairment of the CeA may thus contribute to OCD symptoms by reducing the flexibility of behavioural response preferences as a result of a reduction in signalling prediction errors when behavioural choices or preferences are not optimal. Outcome prediction error signalling is crucial for responding to the omission of expected rewards or the occurrence of unexpected punishments, and these are important for modifying learned behaviour to adjust to dynamically changing environments: the hallmark of flexible, adaptive goal-directed behaviour.\(^{13}\)

A high-resolution, in vivo human fMRI study suggested that it is the corticomedial amygdala (consisting of the CeA and the cortical and medial nuclei) but not the BLA that signals outcome prediction error.\(^{8}\) Patients with OCD have shown reduced activation in the amygdala when receiving unexpected rewards compared to healthy participants during a reward-based spatial learning task.\(^{44}\) This observation was consistent with our interpretation that anatomic impairment of the CeA in OCD might lead to a failure in signalling outcome prediction errors.\(^{44}\)

**Correlation analysis revealed that the volume of the CeA nucleus was smaller in patients with longer illness duration, suggesting volume loss in the CeA might be progressive. This phenomenon may be driven by prolonged overactivation of amygdala neurons, potentially related to excessive glucocorticoid activity, which can lead to excitotoxic damage.\(^{45,46}\)**

### BLA volume decrease in OCD

We also observed a significant volume decrease in the subnuclei of the BLA (basal, lateral and accessory basal nuclei) in patients with OCD relative to healthy controls. In a recent mouse study, deficiency of **SPRED2** (a protein that indirectly regulates synaptic strength, transmission and plasticity) elicits OCD-like behaviour (excessive self-grooming behaviour that caused self-inflicted facial lesions).\(^{13}\) Electrophysiological measurements of these mice revealed increased activity at thalamo-amygdala synapses that was accompanied by altered morphology of neurons in the lateral nucleus.\(^{13}\) A similar process may lead to the lateral nucleus volume decrease observed in the present study.

The accessory basal nucleus is sometimes referred to as the basomedial amygdala and has been studied as a part of

### Table 3: Exploratory tests of volume differences of amygdala subnuclei

<table>
<thead>
<tr>
<th>Subnuclei of amygdala</th>
<th>Subfield volume, mm(^3), mean ± SE</th>
<th>F</th>
<th>%(^{**})</th>
<th>Volume reduction, %(^{†})</th>
<th>p value (unadjusted)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Left medial nucleus</td>
<td>19 ± 1</td>
<td>21 ± 1</td>
<td>6.07</td>
<td>0.034</td>
<td>−9.5</td>
</tr>
<tr>
<td>Left cortical nucleus</td>
<td>20 ± 0</td>
<td>22 ± 0</td>
<td>10.634</td>
<td>0.058</td>
<td>−9.1</td>
</tr>
<tr>
<td>Left paralaminar nucleus</td>
<td>55 ± 1</td>
<td>54 ± 1</td>
<td>0.713</td>
<td>0.004</td>
<td>1.9</td>
</tr>
<tr>
<td>Left anterior amygdaloid area</td>
<td>55 ± 1</td>
<td>55 ± 1</td>
<td>0.088</td>
<td>0.001</td>
<td>0.0</td>
</tr>
<tr>
<td>Left corticoamygdaloid transition</td>
<td>189 ± 3</td>
<td>188 ± 2</td>
<td>0.019</td>
<td>0.000</td>
<td>0.5</td>
</tr>
<tr>
<td>Right medial nucleus</td>
<td>22 ± 1</td>
<td>26 ± 1</td>
<td>19.43</td>
<td>0.101</td>
<td>−15.4</td>
</tr>
<tr>
<td>Right cortical nucleus</td>
<td>22 ± 0</td>
<td>26 ± 0</td>
<td>36.582</td>
<td>0.175</td>
<td>−15.4</td>
</tr>
<tr>
<td>Right paralaminar nucleus</td>
<td>55 ± 1</td>
<td>55 ± 1</td>
<td>0.004</td>
<td>0.000</td>
<td>0.0</td>
</tr>
<tr>
<td>Right anterior amygdaloid area</td>
<td>59 ± 1</td>
<td>61 ± 1</td>
<td>0.628</td>
<td>0.004</td>
<td>−3.3</td>
</tr>
<tr>
<td>Right corticoamygdaloid transition</td>
<td>193 ± 3</td>
<td>198 ± 2</td>
<td>1.808</td>
<td>0.010</td>
<td>−2.5</td>
</tr>
</tbody>
</table>

**OCD = obsessive–compulsive disorder; SE = standard error.**

\(^{**}\)0.01 indicates small effect size, 0.06 indicates medium effect size, and 0.14 indicates large effect size.

\(^{†}\)Percent volume reduction was calculated as (adjusured mean volume of patients with OCD) – (adjusted mean volume of healthy controls) / (adjusted mean volume of healthy controls) × 100%.

\(^{‡}\)Significance after Bonferroni correction.
the BLA in rodent studies. Evidence of specific functions of the accessory basal nucleus is relatively sparse. Neurons in the accessory basal nucleus have been shown to differentiate between safe or aversive environments, and disturbance in this region may lead to an inability to differentiate between safe and dangerous environments in OCD. In nonhuman primates, face-selective neurons were found in the accessory basal nucleus, so impairment in the accessory basal nucleus may contribute to the abnormalities in facial emotion discrimination in patients with OCD that have been reported previously.

The BLA has long been recognized as a critical site that influences the intensity of anxiety, and recent optogenetic research demonstrated that BLA-CeA projections play a central role in mediating anxiety behaviour. However, we failed to find an association between BLA volumes and anxiety levels in our sample, possibly because of the narrow range of Hamilton Anxiety Rating Scale ratings.

**Decreased volume of medial nucleus and cortical nucleus in OCD**

Exploratory analysis revealed a significant volume decrease in the medial and cortical nuclei, and the significance of these differences survived Bonferroni correction. The medial nucleus of the amygdala receives input from the vomeronasal organ and projects to multiple regions, including the ventromedial hypothalamus. This circuit is known to regulate aggressive behaviour. The medial nucleus of the amygdala contains both glutamatergic and GABAergic neurons, and stimulating these neurons can elicit and suppress aggression, respectively. Aggression is an established symptom dimension in OCD, and association between aggression and abnormal amygdala activation has been reported in previous studies. Dysfunction of the medial nucleus may contribute to this clinical feature of OCD. The medial nucleus is also known for facilitating sexual behaviour in male rodents. In humans, both men and women show activation in the amygdala when seeing sexual stimuli. Hence, dysfunction of the medial nucleus could also explain the sexual obsessions that are common symptoms of OCD.

Interestingly, we found smaller volumes in the left medial nucleus related to higher obsession levels and lower compulsive behaviour, such as autism. Second, some patients had received medication treatment previously, and this may have affected their amygdala structure. However, only a small proportion of patients received medication treatment (14 of 81), and they all were untreated for 4 weeks before scanning. Further, comparison of medication-naïve patients with healthy controls revealed similar findings to those seen in primary analyses. Third, although we found associations between symptom severity and anatomic features of the amygdala, the effects were not large, and statistical analysis of these exploratory studies was not corrected for multiple comparisons. Hence, the results of the correlational analyses should be considered heuristic and interpreted with caution. Fourth, related to that issue, we did not collect formal psychological measures of emotion-processing or cognitive processes to establish direct associations between subnuclei measurements and behaviour to evaluate the extent of the associations. Finally, it is possible that deformation of the amygdala may decrease the accuracy of amygdala segmentation, but our manual inspection of the data from each participant identified no observable software failures or gross anatomic malformations.

**Conclusion**

The present study demonstrated that in patients with OCD, the CeA, BLA and medial and cortical subnuclei of the amygdala showed significantly decreased volumes compared to healthy controls. The CeA plays a role in signalling prediction error that is important for flexible goal-directed behaviours. Disruption of the BLA may be responsible for altered fear conditioning in OCD. Alterations of the medial and cortical nuclei may be of particular importance for certain clinical dimensions of OCD; however, further studies are needed to clarify their role in OCD.

**Acknowledgements:** This study was supported by the National Natural Science Foundation (Grant No. 81671669, 81621003, 81411130163, 81761128023 and 81820108018) and Youth Technology Grant of Sichuan Province (No 2017JQ0001), by an award from the Humboldt Foundation to J. Sweeney, and by the Program for Changjiang Scholars and Innovative Research Team in University
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